

IN THE CLAIMS:

The text of all pending claims, (including withdrawn claims) is set forth below. Cancelled and not entered claims are indicated with claim number and status only. The claims as listed below show added text with underlining and deleted text with ~~strikethrough~~. The status of each claim is indicated with one of (original), (currently amended), (cancelled), (withdrawn), (new), (ORIGINAL), or (not entered).

Please AMEND claims 9, 15, 29 and 38, AND ADD new claim 42 in accordance with the following:

1. (ORIGINAL) A system, comprising:
a server coupled to a goods trading market and trading fungible goods via the market;
and
a user computer coupled to the server and allowing a user to specify a basket comprising at least two fungible goods tradable via the market using an interface to initiate trading by the server.
2. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket open region where the user can initiate closing of the basket.
3. (ORIGINAL) A system as recited in claim 2, wherein the initiation is by a single initiation action.
4. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket creation region where the user can list the goods.
5. (ORIGINAL) A system as recited in claim 4, wherein the list includes a trade destination.
6. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a name field where the user defines the name of the basket, an investment amount field where the user specifies an investment amount, a buy/sell field, a share threshold field where the user specifies a share trade threshold, a weighting field allowing a user specified weighting, an index type field where the user can specify a measurement index, a lot size field where the user specifies a purchase increment.

7. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket name field, an investment amount field, an assets field where assets of the basket are specified and a weighting field allowing a user specified weighting.

8. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a name field where the user defines the name of the basket, an investment amount field where the user specifies an investment amount of an order, a minimum amount field where the user specifies a increment for trading the basket, a buy/sell field, an order type field where the user specifies a type of order, a limit price field where the user specifies a limit price for the basket, an order entry field where the user indicate whether the limit order is to be issued based on a condition, a condition field specifying a condition for issuing the order, a weighting field allowing a user specified weighting, a variance field where the user can specify a variance of the order, a time-in force field where the user sets a time limit of the order and an assets field where assets of the basket are specified.

9. (CURRENTLY AMENDED) A system as recited in claim 1, wherein the interface comprises an investment amount field where the user specifies an investment amount of an order and a limit price field and a ~~stop filed~~stop field where the user specifies when the order will enter the market.

10. (ORIGINAL) A system as recited in claim 1, wherein the server supplies the interface with a summary showing existing and potential baskets.

11. (ORIGINAL) A system as recited in claim 10, wherein the summary shows open baskets, gallery baskets and account information.

12. (ORIGINAL) A system as recited in claim 1, wherein the interface shows a relationship between a master account and a sub-account relationship.

13. (ORIGINAL) A system as recited in claim 12, wherein the interface shows sub-account contribution to the master account.

14. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a

basket balancing region where the user can specify a balance among goods of the basket.

15. (CURRENTLY AMENDED) A system as recited in claim 14, wherein the balancing region comprises a goods list field for user changeable goods list, a weight adjustment field for a user changeable goods weight, a share threshold for a user changeable trade threshold, a maintain position field and a trading increment field.-

16. (ORIGINAL) A system as recited in claim 14, wherein the balancing region comprises a goods list field for user changeable goods list.

17. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket close specifying region allowing the user to initiate basket closing.

18. (ORIGINAL) A system as recited in claim 17, wherein the basket close specifying region comprises a goods selector allowing the user to specify which of the goods is to be closed.

19. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a goods specifying region where the user can specify the listing of a particular good among plural baskets.

20. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket detail view of basket contents.

21. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises an asset order detail view.

22. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a trade execution view.

23. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a history view.

24. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises an

asset search view.

25. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises an asset move view.

26. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a basket rotate view.

27. (ORIGINAL) A system as recited in claim 1, wherein the interface comprises a gallery basket view.

28. (ORIGINAL) A system as recited in claim 27, wherein the gallery view shows a basket variance.

29. (CURRENTLY AMENDED) A system, comprising:
a server coupled to a goods trading market and trading fungible goods via the market;
and

a user computer coupled to the server and allowing a user to specify a basket comprising at least two fungible goods tradable via the market using an interface to initiate trading by the server, wherein the interface comprises:

a basket open view comprising a name field where the user defines the name of the basket, an investment amount field where the user specifies an investment amount, a buy/sell field, a share threshold field where the user specifies a share trade threshold, a weighting field allowing a user specified weighting, an index type field where the user can specify a measurement index, a lot size field where the user specifies a purchase increment and an assets field where assets of the basket are specified;

a basket trade view comprising a name field where the user defines the name of the basket, an investment amount field where the user specifies an investment amount of an order, a minimum amount field where the user specifies a increment for trading the basket, a buy/sell field, an order type field where the user specifies a type of order, a limit price ~~field~~ field where the user specifies a limit price for the basket, an order entry field where the user indicate whether the limit order is to be issued based on a condition, a condition ~~field~~ field specifying a condition for issuing the order, a weighting field allowing a user specified weighting, a variance field where the user can specify a variance of the order, a time-in force ~~field~~ field where the user sets a time

limit of the order and an assets field where assets of the basket are specified;
a summary view showing existing and potential baskets;
a relationship view of a relationship between a master account and a sub-account;
a basket balancing view where the ~~use~~user can specify a balance among goods of the basket;
a basket detail view of basket contents;
an asset order detail view;
a trade execution view;
a history view;
an asset search view;
an asset move view;
a basket rotate view; and
gallery basket view.

30. (ORIGINAL) An interface, comprising:

a trade list region allowing a user to specify goods to be traded as a basket.

31. (ORIGINAL) An interface as recited in claim 30, further comprising a trade control allowing the user to initiate trading of the goods as a single transaction.

32. (WITHDRAWN) A method, comprising:

creating an interface allowing a user to specify a basket of goods to be traded; and
allowing the user to initiate trading of the goods using the interface.

33. (ORIGINAL) A computer readable storage controlling a computer by allowing user to initiate trading of a basket of goods using an interface having a list of goods of the basket and a trade control.

34. (ORIGINAL) A basket database, comprising:

a basket record comprising a basket identifier, an investment amount and goods identifiers for at least two fungible goods tradable via a goods trading market.

35. (ORIGINAL) A system, comprising:

a server coupled to a goods trading market and trading fungible goods via the market;

and

a user computer coupled to the server and allowing a user to specify a basket comprising at least two fungible goods tradable via the market to initiate trading by the server and the server confirming the trade by electronic message to the user.

36. (ORIGINAL) A system as recited in claim 35, wherein the electronic message comprises an asset description, a trade time, a trade amount and a trade price for the assets and a net asset value for the basket.

37. (ORIGINAL) A system as recited in claim 1, wherein the goods comprise one of stocks, options, commodities, bonds, derivatives, tradeable assets, tradable liabilities, a combination of tradable assets and liabilities, financial assets, securities, foreign equities, domestic equities, american depository receipts, corporate paper, unit investment trust shares, options, warrants, notes, limited partnership interests, private placement securities, foreign currencies, contracts, futures, bank loan syndication interests, debts, pollution rights, global warming rights, insurance claim interests, debt, and real estate.

38. (CURRENTLY AMENDED) A system, comprising:
identification means for identifying a stock ~~basket~~basket of two or more stocks to be traded via a stock trading market; and
trading means trading the two or more stocks via the market as a unit.

39. (ORIGINAL) A system, comprising:
a server coupled to a goods trading market and trading fungible goods via the market;
and

a user computer coupled to the server and allowing a user to specify a basket comprising at least two fungible goods tradable via the market using an interface to initiate trading by the server, the interface showing a relationship between a master account and a sub-account relationship and showing sub-account contribution to the master account, the server allocating trades in the master account to the sub-account.

40. (ORIGINAL) A system as recited in claim 39, wherein the trades are allocated by the server in proportion to principle provided to the master account by the sub-account.

41. (ORIGINAL) A system as recited in claim 39, wherein the trades are allocated by the server in response to defined allocations.

42. (NEW) A system, comprising:
a server coupled to a goods trading market and trading fungible goods via the market;
and
a user computer coupled to the server, where the user computer allows a user to specify a basket including at least two fungible goods tradable as a unit via the market using an interface to initiate trading by the server, the interface showing a net asset value indicator indicating a net asset value reflecting information including changes made to the basket contents by asset issuer related events.